

SIPP Trustee Application Form**COMPANY INFORMATION:**

Financial Conduct Authority Firm Registration Number: _____

Full Company Name: _____ Registration Number: _____

Date of Incorporation: _____ Tax Domicile: _____

Registered Address: _____ City: _____

County: _____ Post Code: _____

Country: _____

Principal Office (if different from Registered Address): _____

City: _____

County: _____ Post Code: _____

Country: _____

Correspondence Address: Registered Address Principal Office

Principal Business Activity: _____ Total Number of Staff: _____

Type of Company: Partnership Limited Partnership Limited Liability Partnership
 Limited Company PLCIs the Company publicly quoted: Yes No **CONTACT DETAILS:**

(Please include any International Dialling Code where applicable.)

Main switchboard number: _____ Email Address: _____

Website Address: _____

Principal Contact: _____ Direct Telephone Number: _____

PRINCIPAL BANKERS:

Bank Name: _____ Bank Country: _____

Account / IBAN Number: _____ Sort / Branch / Swift / BIC Code: ____ / ____ / ____

Principal Contact: _____ Tel. No. of the Principal Contact: _____

RISK WARNING

Tradefair Financials CFDs, Spot Forex markets and Financial Spread Bets are margined products; it is possible for SIPP Members to lose more than their initial margin deposit or any variation margin that they may be required to deposit from time to time, therefore they should only speculate with money they can afford to lose. These products may not be suitable for all customers; therefore, please ensure that SIPP Members fully understand the risks involved and seek independent financial or legal advice if necessary and prior to entering into such transactions. Tradefair Financials reserves the right to deny SIPP Members account facilities based on their previous experience of derivative trading. When SIPP Members trade with Tradefair Financials they are merely wagering on the outcome of a financial instrument and therefore they do not take delivery of any applicable underlying instrument and nor are they entitled to any benefits related to the same. We understand and accept the risks associated with financial spread betting, cfd trading and/or spot forex trading (as relevant); for example, that SIPP Member's losses may rapidly exceed any initial deposit or margin and may be unlimited.

DATA PROTECTION

You are referred to clause 39 of our General Terms (which is repeated in our Privacy Policy). This sets out the treatment of information relating to your SIPP Members, in particular to whom it may be disclosed. You specifically confirm that you have read, understood and accept those terms. Please note that, amongst other uses, Tradefair Financials can disclose SIPP Member information to and undertake searches with credit references and fraud prevention agencies, banks and other relevant third parties. We and they can also search the Electoral Register. Those third parties may maintain a record of the search and this may affect future applications for credit by your SIPP Member.

BET/TRADE CONFIRMATIONS

Tradefair Financials will provide confirmations to the SIPP Member of their bets/trades and executed Orders via Tradefair PRO, unless the SIPP Member contacts us and request that they be sent on paper.

MARKETING

At the time of making their SIPP Account application to Tradefair Financials, your SIPP Members will be given the opportunity to opt out of being provided with information of our products and services, or those of our Associated Companies.

DECLARATION

We confirm that all of the directors of the above company making application and those authorised to act on behalf of the company are over 18 years of age and the information provided above is true and correct. We confirm that we have read in full and understood that all SIPP Members will be required to agree to the Tradefair Financials General Terms, Spread Terms, (if they are applying for a spread betting account), the CFD and Spot Forex Terms, (if they are applying for a CFD and/or Spot Forex Account), (collectively "the Terms"), and the Risk Warning Notice. We understand that we are applying to become a SIPP Trustee of Tradefair Financials on the basis of the Terms and that a contract will only come into being if Tradefair Financials

accepts this application. We understand and accept the risks associated with financial spread betting, cfd trading and/or spot forex trading (as relevant); for example that losses incurred by SIPP Members that we introduce may exceed any deposit or margin and may be unlimited.

We agree to communicating with Tradefair Financials (without limitation) by the use of electronic communications. We confirm that we are duly authorised and have full capacity to act as a SIPP Trustee with Tradefair Financials; we confirm that in doing so we will not be in breach of any laws (English or elsewhere, tax, regulatory or otherwise) nor our memorandum, articles of association or other constitutional documents.

We understand that Tradefair Financials are required to verify both our corporate addresses and identities (as well as the addresses and identities of our directors and shareholders) in order to satisfy current international money laundering legislation and may use information held in any database or other electronic format in that connection. In this respect we agree to provide relevant documentation when required and cooperate.

JURISDICTION NOTICE: We have read the Tradefair Financials Jurisdiction Notice located within www.tradefair.com and understand that if SIPP Members are non-UK residents or non-UK citizens or if they bet/trade from a jurisdiction outside of the UK, they may be subject to laws (including tax laws) other than English law and that they are solely responsible for ascertaining and complying with such laws.

We understand that if we are uncertain in respect of any of the above statements we should seek independent financial/legal advice.

Signed: _____ **Name in full:** _____
Duly authorised signatory for and on behalf of the applicant named above.

Title: _____ **Date:** _____

Signed: _____ **Name in full:** _____
Duly authorised signatory for and on behalf of the applicant named above.

Title: _____ **Date:** _____

BOARD/PARTNERSHIP RESOLUTION

We, the signatories named below, confirm that the information contained within this application form and any other documents that support this application are completely accurate and up to date. We also confirm that the following resolutions as stated below were duly passed at a meeting of the board of directors/the partners of _____ on the _____ day of _____ at which a quorum was present at all times. The resolutions as stated have not been subsequently varied or revoked and no director/partner has then or since informed us of any declarable interest.

It was resolved to:

i) Open a SIPP Trustee account with Tradefair Financials for the purposes of providing beneficial owners (Members) of SIPPs with any of the following services as required:

Spot Forex Trading CFD Trading Spot Forex & CFD Trading Financial Spread Betting

- ii) Authorise those directors/partners named below to act on behalf of the above company/partnership.
- iii) Immediately inform Tradefair Financials as and when any of the directors/partners named below are no longer authorised to act on behalf of the above named company/partnership.
- iv) Warrant that the directors/partners named below are duly appointed directors/partners of the above named company and continue to hold the position as stated next to their name.
- v) Provide Tradefair Financials with any additional documentation that may be required.
- vi) Execute the application form and in particular to make the declarations therein to Tradefair Financials.

Directors/Partners

***Signed:** _____ ***Name:** _____ ***Title:** _____ ***Date:** _____

Signed: _____ **Name:** _____ **Title:** _____ **Date:** _____

Signed: _____ **Name:** _____ **Title:** _____ **Date:** _____

Signed: _____ **Name:** _____ **Title:** _____ **Date:** _____

Tradefair Financials' ("Tradefair") is a trading name of GAIN Capital - FOREX.com UK Limited and is authorised and regulated by the Financial Conduct Authority FCA No. 190864 with registered office at 34th Floor (CGC 34-03) 25 Canada Square, London E14 5LQ United Kingdom. © 2014 GAIN Capital – FOREX.com UK Limited.