

Corporate Account Application Form

REQUIRED TRADING PERMISSIONS:

Financial Spread Betting
 CFD Only
 Spot Forex Only
 CFD & Spot Forex

BASE CURRENCY AND INITIAL DEPOSIT:

Select the currency your account will be denominated in: AUD CHF EUR GBP JPY PLN USD

Estimated Deposit Amount: _____

Minimum USD 200 (or currency equivalent) for Financial Spread Betting and Standard Spot Forex and/ or CFD Trading

ADDITIONAL SPOT FOREX ACCOUNT OPTIONS: Please select your required lot size and margin requirement:

Estimated Deposit Amount _____

LOT SIZE	LEVERAGE (Min ¼%)
<input type="checkbox"/> 100,000 (default)	<input type="checkbox"/> 200:1 – ½ % (Default)
<input type="checkbox"/> 10,000	<input type="checkbox"/> 400:1 – ¼ %
<input type="checkbox"/> Other _____ (Increments of 1000)	<input type="checkbox"/> 100:1 – 1 %

ADDITIONAL CFD AND FINANCIAL SPREAD BETTING OPTIONS:

Please select your required margin requirement in respect of CFD FX or Spread Bet FX:

200:1 – ½ % (Default) 100:1 – 1 %

COMPANY INFORMATION:

Full Company Name: _____ Registration Number: _____

Date of Incorporation: _____ Tax Domicile: _____

Registered Address: _____ City: _____

State/Province/County: _____ Post/Zip Code: _____

Country: _____

Principal Office (if different from Registered Address): _____

City: _____

State/Province/County: _____ Post/Zip Code: _____

Country: _____

Correspondence Address: Registered Address Principal Office

Principal Business Activity: _____ Total Number of Staff: _____

Type of Company: Partnership Limited Partnership Limited Liability Partnership
 Limited Company PLC

Is the Company publicly quoted: Yes No

Is the Company regulated by the Financial Conduct Authority in the U.K. or regulated in any other country:

Yes No

I do not wish for Tradefair Financials and its Associated Companies to use information about me to keep me informed of products and services by letter, telephone (including text messages), electronically (including e-mail) or otherwise.

CONTACT DETAILS: (Please include any International Dialing Code where applicable.)

Main switchboard number: _____ Email Address: _____

Website Address: _____

Principal Contact: _____ Direct Telephone Number: _____

PRINCIPAL BANKERS:

Bank Name: _____ Bank Country: _____

Account / IBAN Number: _____ Sort / Branch / Swift / BIC Code: _____ / _____ / _____

Principal Contact: _____ Tel. No. of the Principal Contact: _____

If the subject of this application is a subsidiary or division of another company, then Tradefair Financials require that all of the above information also be provided in respect of the parent company on a separate sheet.

FINANCIAL INFORMATION:

Currency in which your financial information will be denominated: _____

What are your entity's estimated or actual annual revenues?

- Less than 5,000 5,000 – 10,000 10,000 – 20,000
 20,000 – 40,000 40,000 – 60,000 60,000 – 80,000
 80,000 – 100,000 Over 100,000

What is your entity's total estimated or actual annual income after taxes?

- Less than 5,000 5,000 – 10,000 10,000 – 20,000
 20,000 – 40,000 40,000 – 60,000 60,000 – 80,000
 80,000 – 100,000 Over 100,000

What is your entity's total estimated or actual net worth (assets minus liabilities)?

- Less than 5,000 5,000 – 10,000 10,000 – 20,000
 20,000 – 40,000 40,000 – 60,000 60,000 – 80,000
 80,000 – 100,000 Over 100,000

What is your entity's liquid net worth (cash, readily marketable securities)?

- Less than 5,000 5,000 – 10,000 10,000 – 20,000
 20,000 – 40,000 40,000 – 60,000 60,000 – 80,000
 80,000 – 100,000 Over 100,000

Is the corporation, partnership or LLC the debtor in pending bankruptcy proceedings? YES NO

TRADING EXPERIENCE:

Please select your trading experience for each type based on live trading experience only.

1. Have you traded spread bets? Yes No

If yes, please indicate the number of self-directed trades placed with your own risk capital in the last 12 months:

- 0 – 25 trades. 26 – 50 trades. 51 and above.

Average size of trade:

- 0 – 4 5-10 11-20 21-50 51+

2. Have you traded CFDs? Yes No

If yes, please indicate the number of self-directed trades placed with your own risk capital in the last 12 months:

- 0 – 25 trades. 26 – 50 trades. 51 and above.

Average size of trade:

- 0 – 4 5-10 11-20 21-50 51+

3. Have you traded Spot Forex? Yes No

If yes, please indicate the number of self-directed trades placed with your own risk capital in the last 12 months:

- 0 – 25 trades. 26 – 50 trades. 51 and above.

Average size of trade:

- 0-50,000 51,000 – 100,000 101,000 – 200,000 200,000 and above.

4. Have you traded other types of financial instruments, such as shares? Yes No

If yes, please answer the following questions:

Please indicate if these trades were in:

- Other Financial Derivatives
 Shares
 Other instruments

Please indicate the number of self-directed trades placed with your own risk capital in the last 12 months:

- 0 – 25 trades. 26 – 50 trades. 51 and above.

Average size of trade:

- 0 – 4 5-10 11-20 21-50 51+

5. Do you hold any education or professional qualifications relevant to the type of trading you wish to carry on with Tradefair Financials?

YES NO

If yes, please specify: _____

Warning:

If you have ticked “No” to all of the five above questions, before you continue with your application please note that the rules of our regulatory body (the Financial Conduct Authority) require Tradefair Financials to inform you that we do not deem leveraged derivative financial instruments as being entirely appropriate products for you.

Having considered the above statement, do you still wish to continue with your account application?

Yes, we confirm that I have noted your warning above but still wish to continue with my application for account facilities with Tradefair Financials.

ACCOUNT SECURITY:

Please indicate your preferred security question by providing your appropriate answer to **one** of the following:

Your father’s middle name?: _____ Your mother’s maiden name?: _____

Your pet’s name?: _____ Your favourite sports team?: _____

Your first car?: _____ Your favourite TV show?: _____

Your favourite food?: _____

PASSWORD:

Please provide a password to be used on your initial login to platform: _____
(This password must be changed after first login for security reasons)

RISK WARNING

Tradefair Financials’ CFDs, Spot Forex markets and Financial Spread Bets are margined products; it is possible to lose more than your initial margin deposit or any variation margin that you may be required to deposit from time to time, therefore you should only speculate with money you can afford to lose. These products may not be suitable for all customers; therefore, please ensure you fully understand the risks involved and seek independent financial or legal advice if necessary and prior to entering into such transactions. Tradefair Financials reserves the right to deny you account facilities based on your previous experience of derivative trading. When you trade with Tradefair Financials you are merely wagering on the outcome of a financial instrument and therefore you do not take delivery of any applicable underlying instrument and nor are you entitled to any benefits related to the same. We understand and accept the risks associated with financial spread betting, cfd trading and/or spot forex trading (as relevant); for example, that our losses may rapidly exceed any initial deposit or margin and may be unlimited.

DATA PROTECTION

You are referred to clause 39 of our General Terms (which is repeated in our Privacy Policy). This sets out the treatment of information relating to you, in particular to whom it may be disclosed. You specifically confirm that you have read, understood and accept those terms. Please note that, amongst other uses, we can disclose your information to and undertake searches with credit references and fraud prevention agencies, banks and other relevant third parties. We and they can also search the Electoral Register. Those third parties may maintain a record of the search and this may affect future applications for credit by you.

BET/TRADE CONFIRMATIONS

We will provide confirmations to you of your bets/trades and executed Orders via Tradefair PRO, unless you contact us and request that they be sent on paper.

MARKETING

We will use information about you (see meaning of “Your Data” in our Terms) to keep you informed of our products and services by letter, telephone (including text messages), electronically (including e-mail) or otherwise. Our Associated Companies will also be able to contact you in these ways about their products and services. You consent to the use of Your Data in this way unless you tell us that you do not wish us or our Associated Companies to use Your Data in this manner. In order to do so, please mark the checkbox found in the Personal Details section of this application or write to us, telephone us or email us at: Tradefair Financials, 34th Floor, (CGC34-03), 25 Canada Square, London, E14 5LQ, telephone + 44 (0) 20 7170 0942, e-mail our Customer Services Team on helpdesk@tradefair.com.

DECLARATION

We confirm that all of the directors of the above company making application and those authorised to trade on its' behalf are over 18 years of age and the information provided above is true and correct. We confirm that we have read in full, understood and agree to the Tradefair Financials General Terms, Spread Terms (if we are applying for a spread betting account) and/or the CFD and Spot Forex Terms, (if we are applying for a CFD and/or Spot Forex Account) (collectively “the Terms”), and the Risk Warning Notice. We understand that we are applying to become a customer of Tradefair Financials on the basis of the Terms and that a contract will only come in to being if Tradefair Financials accepts this application. We understand and accept the risks associated with financial spread betting, cfd trading and/or spot forex trading (as relevant); for example that our losses may exceed any deposit or margin and may be unlimited.

We confirm that we are acting as a private company for our own account and are not currently, nor have at some time in the past been, registered or qualified with any national or state exchange, regulatory authority, professional association or recognised professional body as registered securities broker-dealers, investment advisers, futures commission merchants, commodities introducing brokers or commodity trading advisors, member of a securities exchange or association or futures contract makers.

We confirm that we shall use any data provided by Tradefair Financials solely in relation to the management of our private company funds and not as a trader to the public, and will not redistribute, republish or otherwise provide any data provided by Tradefair Financials to any third party in any manner or use or process data for any commercial purpose. We understand that we are expressly prohibited from:

- extracting the Tradefair Financials Price from Tradefair PRO;
- copying, altering, adapting, creating derivative works, decompiling, disassembling, modifying or reverse engineering the Tradefair Financials Price, or any part of it;
- distributing the Tradefair Financials Price to any third party without an appropriate licence from Tradefair Financials and/or one of Tradefair Financials' third party providers;
- using the Tradefair Financials Price for any purpose other than allowed under the Tradefair Financials Terms.

We agree to communicating with Tradefair Financials (without limitation) by the use of electronic communications. We confirm that we are duly authorised and have full capacity to enter into the Terms and to engage in financial spread betting, cfd trading and/or spot forex trading (as relevant) with Tradefair Financials; we confirm that in doing so we will not be in breach of any laws (English or elsewhere, tax, regulatory or otherwise) nor our memorandum, articles of association or other constitutional documents.

We understand that Tradefair Financials are required to verify both our corporate addresses and identities (as well as the addresses and identities of our directors and shareholders) in order to satisfy current international money laundering legislation and may use information held in any database or other electronic format in that connection. In this respect we agree to provide relevant documentation when required and cooperate.

JURISDICTION NOTICE: We have read the Tradefair Financials Jurisdiction Notice located within plus.tradefair.com and understand that if we are non-UK residents or non-UK citizens or if we bet/trade from a jurisdiction outside the UK, we may be subject to laws (including tax laws) other than English law and that we are solely responsible for ascertaining and complying with such laws.

We understand that if we are uncertain in respect of any of the above statements we should seek independent financial/legal advice.

Signed: _____ **Name in full:** _____
Duly authorised signatory for and on behalf of the applicant named above.

Title: _____ **Date:** _____

Signed: _____ **Name in full:** _____
Duly authorised signatory for and on behalf of the applicant named above.

Title: _____ **Date:** _____

BOARD/PARTNERSHIP RESOLUTION

We, the signatories named below, confirm that the information contained within this application form and any other documents that support this application are completely accurate and up to date. We also confirm that the following resolutions as stated below were duly passed at a meeting of the board of directors/the partners of _____ on the _____ day of _____ at which a quorum was present at all times. The resolutions as stated have not been subsequently varied or revoked and no director/partner has then or since informed us of any declarable interest.

It was resolved to:

- Open an account with Tradefair Financials for the purposes of:
Spot Forex Trading CFD Trading Spot Forex & CFD Trading Financial Spread Betting
- Accept the Tradefair Financials Terms (as defined on the application form).
- Authorise those directors/partners named below to operate the account on behalf of the above company/partnership.
- Immediately inform Tradefair Financials as and when any of the directors/partners named below are no longer authorised to trade on behalf of the above named company/partnership.
- Warrant that the directors/partners named below are duly appointed directors/partners of the above named company and continue to hold the position as stated next to their name.
- Provide Tradefair Financials with all of the necessary documents as set out below.
- Execute the application form and in particular to make the declarations therein to Tradefair Financials.

Directors/Partners

***Signed:** _____ ***Name:** _____ ***Title:** _____ ***Date:** _____

Signed: _____ **Name:** _____ **Title:** _____ **Date:** _____

Signed: _____ **Name:** _____ **Title:** _____ **Date:** _____

Signed: _____ **Name:** _____ **Title:** _____ **Date:** _____

Signed: _____ **Name:** _____ **Title:** _____ **Date:** _____

The following documentation must accompany the above Board/Partnership Resolution.

- i) A Certificate of Incorporation Enclosed
- ii) Memorandum and Articles of Association, Partnership Deed or other constitutional documents Enclosed
- iii) Original evidence of your registered address as well as your trading address where applicable Enclosed
- iv) An official company document showing the current shareholder/beneficiaries and directors Enclosed
- v) Each director and each shareholder/beneficiary with a beneficial interest of 25% or more in the entity must submit a copy of their passport and a document that confirms their residential address. Enclosed

ORIGINAL DOCUMENTATION:

Due to the potential for identity theft Tradefair Financials suggest you do not send original documentation by standard postal delivery. Any original documents that you may submit to Tradefair Financials will be returned to you by way of recorded delivery within the U.K. and by way of secure airmail delivery for all other countries.

Tradefair Financials' ("Tradefair") is a trading name of GAIN Capital - FOREX.com UK Limited and is authorised and regulated by the Financial Conduct Authority FCA No. 190864 with registered office at 34th Floor (CGC 34-03) 25 Canada Square, London E14 5LQ United Kingdom. © 2014 GAIN Capital – FOREX.com UK Limited.